

FORM PTO-1390  
(REV 10-2004)TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY DOCKET NUMBER

4791-4007

U.S. APPLICATION NO. (If known, see 37 CFR 1.51)

10/540352

INTERNATIONAL APPLICATION  
PCT/EP/2003/013984INTERNATIONAL FILING DATE  
10 December 2003PRIORITY DATE CLAIMED  
23 December 2002

## TITLE OF INVENTION

METHOD AND PLANT FOR THE HEAT TREATMENT OF SULFIDIC ORES USING ANNULAR FLUIDIZED BED

## APPLICANT(S) FOR DO/EO/US


STRÖDER, Michael; ANASTASIJEVIC, Nikola; and RUNKEL, Marcus

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)).
4. ☒ The US has been elected. (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). *unsigned*
10. ☐ An English translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11. to 20. below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☒ A power of attorney and/or change of address letter (PCT/IB/306).
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 C.F.R. 1.821-1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or Information: Published Application with International Search Report; Notice Informing the Applicant of the Communication of the International Application to the Designated Offices; Copy of Written Opinion; Return Receipt Postcard.

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.51) <b>10/540352</b>		INTERNATIONAL APPLICATION NO. PCT/EP2003/013984		ATTORNEY'S DOCKET NO. 4791-4007	
TBA				CALCULATIONS	
				PTO USE ONLY	
The following fees have been submitted					
21. <input checked="" type="checkbox"/> Basic national fee .....\$300				\$300.00	
22. <input checked="" type="checkbox"/> Examination fee					
If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) .....\$100					
All other situations.....\$200				\$200.00	
23. <input checked="" type="checkbox"/> Search fee					
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority .....\$100					
International Search Report prepared and provided to the Office.....\$400					
All other situations.....\$500				\$400.00	
<b>TOTAL OF 21, 22 and 23 =</b>				\$900.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
13 - 100 =	0/50 =		x \$250.00	\$0.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	35 - 20 =	15	x \$50.00	\$750.00	
Independent claims	1 - 3 =		x \$200.00	\$0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00	\$0.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$1,650.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	
<b>SUBTOTAL =</b>				\$1,650.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				+	\$
<b>TOTAL NATIONAL FEE =</b>				\$1,650.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	
<b>TOTAL FEES ENCLOSED =</b>					
				Amount to be refunded:	\$
				Amount to be charged:	\$1,650.00
<p>a. <input type="checkbox"/> A check in the amount of \$            to cover the above fees is enclosed.</p> <p>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>13-4500 (4791-4007)</u> in the amount of \$1,650.00 to cover the above fees.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>13-4500 (4791-4007)</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.</p> <p><b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p> <p>SEND ALL CORRESPONDENCE TO: 27123 Enter Address Here Bartholomew Verdirame, Esq. MORGAN &amp; FINNEGAN LLP 1 World Financial Center New York, New York 10281-2101 212 415 8700 Telephone 212 415 8701 Fax</p>					
				<p> Signature</p> <p><u>Bartholomew Verdirame</u> Name</p> <p><u>28,483</u> REGISTRATION NUMBER</p>	

10/540352

ocket No. 4791-4007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JC20 Rec'd PCT/PTO 23 JUN 2005

Applicant(s): STRÖDER, Michael; ANASTASIJEVIC, Nikola; and RUNKEL, Marcus

Group Art Unit: Not Yet Assigned

Serial No.: Not Yet Assigned

Examiner: Not Yet Assigned

Filed: June 22, 2005 (Herewith)

For: METHOD AND PLANT FOR THE HEAT TREATMENT OF SULFIDIC ORES  
USING ANNULAR FLUIDIZED BED

EXPRESS MAIL CERTIFICATE

Mail Stop Box PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Express Mail Label No.: **EV 623606792 US**

Date of Deposit: June 22, 2005

I hereby certify that the following attached paper(s) and/or fee:

1. Transmittal letter to the US Designated Elected Office Concerning Filing Under 35 USC 371 (2 pps);
2. Preliminary Amendment (9 pp);
3. Information Disclosure Statement (1 pp);
4. PTO Form 1449 (1 pp) and (1) Cited Reference;
5. Published International Application with International Search Report;
6. Notice Informing the Applicant of the Communication of the International Application to the Designated Offices (1 pp);
7. Declaration (10 pp);
8. Notification of the Recording of a Change (1 pp);
9. Copy of Written Opinion (2 pp); and
10. Return Receipt Postcard.

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Albert Isles

(Typed or printed name of person mailing papers(s) and/or fee)

*Albert Isles*

(Signature of person mailing paper(s) and/or fee)

Correspondence Address:

MORGAN & FINNEGAN, L.L.P.  
3 World Financial Center  
New York, NY 10281 2101  
(212) 415-8700 Telephone  
(212) 415-8701 Facsimile